

## EFFECT OF H<sub>2</sub> HEAT TREATMENT ON CRYSTALLINITY OF CdTe FILMS GROWN ON GaAs SUBSTRATES

Chikara Onodera<sup>1</sup>, Masaaki Yoshida<sup>2</sup>

<sup>1</sup>*Electronic Engineering Course, Aomori Prefectural Towada Technical Senior High School,  
215-1 Shimotai Sanbongi, Towada, Aomori 034-0001, Japan, ycd1ngt@yahoo.co.jp*

<sup>2</sup>*Department of Electrical and Computer Engineering, Hachinohe National College of Technology,  
16-1 Uwanotai, Tamonoki, Hachinohe, Aomori 039-1192, Japan, yoshida-e@hachinohe-ct.ac.jp*

(Received March 17, 2011)

We investigated the effect of H<sub>2</sub> heat treatment on CdTe films grown on GaAs substrates by using photoluminescence (PL) and reflectance spectra. We observed the changes in heavy- and light-hole free exciton (FE) emissions and reflectance spectra with heat-treatment temperature. The energy separation between heavy-hole and light-hole FE lines calculated using the bimetallic strip model is in good agreement (4.08 meV) with that estimated from the peak energies of the heavy- and light-hole FE lines (4.0 meV). By Lorentzian deconvolution of the heavy-hole and light-hole FE lines, their widths decrease with increasing H<sub>2</sub> heat treatment temperature. By fitting the theoretical reflectance spectra to the measured reflectance spectra, the associated broadenings of light-hole FEs decrease with increasing H<sub>2</sub> heat treatment temperature. The observations suggested that the crystallinity of CdTe films is improved by H<sub>2</sub> heat treatment.

**Key words:** cadmium telluride, free exciton, photoluminescence, reflectance, heat treatment.

PACS number(s): 78.55.Et, 81.05.Dz

### I. INTRODUCTION

CdTe has attracted considerable attention as an optoelectronic material for various applications such as solar cells and gamma-ray detectors. Extensive efforts have been devoted to growing high-quality and large-area CdTe films that can be used as buffer layers in the fabrication of HgCdTe for the application in infrared detectors. CdTe is a favorable buffer layer for the growth of HgCdTe owing to the close lattice match between them. Currently, the wafer size of CdTe is limited to a small area. Although there is a high lattice mismatch between GaAs and CdTe, the crystal quality of epitaxial CdTe grown on GaAs via several growth techniques is comparable with that of the bulk CdTe [1–3]. CdTe epitaxial films grown on GaAs substrates suffer from a biaxial compressive stress due to a large-thermal expansion difference [4].

We reported that no additional strain was induced in CdTe films grown on GaAs after post-growth annealing in a H<sub>2</sub> atmosphere [5]. Moreover, the surface quality of CdTe films after heat-treatment remained excellent because of the appearance of free exciton (FE) lines [5]. However, we did not report the effect of H<sub>2</sub> heat treatment on CdTe crystallinity in detail [5]. To characterize CdTe film quality after post-growth annealing in a H<sub>2</sub> atmosphere, we analyzed the photoluminescence (PL) and reflectance spectra of CdTe films grown on GaAs substrates at 4.2 K.

### II. SAMPLE PREPARATION AND EXPERIMENTAL METHODS

(100) CdTe films were grown on (100) GaAs substrates via low-pressure metal organic chemical vapor deposition (MOCVD). Dimethylcadmium (DMCd) was used

as a metal-organic Cd source, whereas dimethyltelluride (DMTe) or diethyltelluride (DETe) was used as a metal-organic Te source. The substrates were cleaned with a solvent, etched in H<sub>2</sub>SO<sub>4</sub>:H<sub>2</sub>O<sub>2</sub>:H<sub>2</sub>O(5:1:1), rinsed with de-ionized H<sub>2</sub>O, and boiled in HCl solution. Immediately prior to growth, the substrates were heated in the chamber at 550°C under a H<sub>2</sub> atmosphere. The detailed procedures have been described elsewhere [5].

The PL spectra were measured at 4.2 K under He–Cd laser (441.6 nm, 50 mW) excitation, and they were analyzed using a single-grating monochromator (1 m; Jobin Yvon). The signal was detected using a conventional lock-in detection system. We obtained reflectance spectra at 4.2 K, with light from a halogen lamp normally incident on the sample surface.

In the same MOCVD chamber, isochromal heat treatment was carried out for 30 min in the temperature range of 250 to 450°C under a H<sub>2</sub> gas atmosphere.

### III. RESULTS AND DISCUSSION

Figure 1 shows the both PL and reflectance spectra of CdTe films grown on GaAs substrates at 4.2 K, obtained before and after the H<sub>2</sub> heat treatment. Heavy- and light-hole free exciton (FE) lines can be observed at the high-energy shoulder of the (D<sup>0</sup>, X) peak.

In order to distinguish FE transitions from the (D<sup>0</sup>, X) peak, we measured reflectance spectra in the band-edge energy range. In the reflectance spectra shown in Fig. 1 (upper trace), two distinct dips are observed, and their energy positions are in good agreement with the two peaks at the high-energy shoulder of the (D<sup>0</sup>, X) line in the PL spectra. There is a biaxial compressive strain in the CdTe films, which splits the valence band into two bands, i. e., heavy- and light-hole bands [4, 5]. Thus, the low- and the high-energy emission peaks are the heavy-

and light-hole FE transitions, respectively. The FE line is a direct indication of a high-quality crystal because exciton binding results from the long-range Coulomb interaction between an electron and a hole.

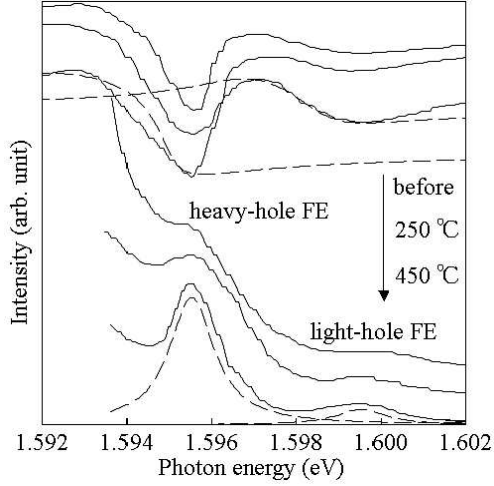


Fig. 1. High resolution heavy-hole and light-hole free exciton emission spectra (lower trace) and reflectance spectra (upper trace) of a CdTe film grown on a GaAs substrate, measured at 4.2 K. The broken lines denote the Lorentzian deconvolved emission line shapes of band-edge emissions (lower trace) and calculated reflectance spectra of heavy- and light-hole FE transitions (upper trace).

In order to estimate heavy-hole and light-hole FE peak energies, we carried out a Lorentzian deconvolution of the PL spectra. The peak energy positions of the heavy-hole and light-hole FE lines before H<sub>2</sub> heat-treatment are 1.5955 and 1.5995 eV, respectively. The energy separation between the heavy-hole and light-hole FEs is estimated to be 4.0 meV. The energy separation between the heavy- and light-hole FE lines in a CdTe film on a GaAs substrate can be analytically calculated on the basis of a bimetallic strip model [4].

The strain at the surface of the CdTe film is given by [4]

$$\epsilon = T_s/K_{\text{CdTe}}, \quad (1)$$

where

$$T_s = P/d_{\text{CdTe}} - K_{\text{CdTe}}d_{\text{CdTe}}/2R, \quad (2)$$

$$\frac{1}{R} = \frac{6(\alpha_{\text{GaAs}} - \alpha_{\text{CdTe}})(T - T_0)(1 + m)^2}{h[3(1 + m)^2 + (1 + nm)(m^2 + 1/mn)]}, \quad (3)$$

$$m = d_{\text{GaAs}}/d_{\text{CdTe}}, \quad (4)$$

$$n = K_{\text{GaAs}}/K_{\text{CdTe}}, \quad (5)$$

$$P = 2(K_{\text{GaAs}}I_{\text{GaAs}} + K_{\text{CdTe}}I_{\text{CdTe}})/hR, \quad (6)$$

$$I_{\text{GaAs}} = d_{\text{GaAs}}^3/12, \quad (7)$$

$$I_{\text{CdTe}} = d_{\text{CdTe}}^3/12, \quad (8)$$

$$h = d_{\text{GaAs}} + d_{\text{CdTe}}, \quad (9)$$

$$K_{\text{GaAs or CdTe}} = (C_{11} - C_{12})(C_{11} + 2C_{12})/C_{11}. \quad (10)$$

In the equations stated above,  $\alpha_{\text{GaAs}}$  and  $\alpha_{\text{CdTe}}$  denote the thermal expansion coefficients of GaAs and CdTe, respectively,  $d_{\text{GaAs}}$  and  $d_{\text{CdTe}}$  denote the thicknesses of the GaAs substrate and CdTe film, respectively, and  $C_{11}$  and  $C_{12}$  are the elastic stiffness constants. We assume that the thermal expansion coefficients are not temperature dependent. The energy separation between the heavy- and light-hole FE lines is given by [5]

$$E_{\text{split}} = 2b \frac{C_{11} + C_{12}}{C_{11}} \epsilon, \quad (11)$$

where  $b$  is the shear deformation potential. The physical parameters used in the calculation are listed in Table 1.  $T_0$  denotes room temperature (300 K), and  $T$  denotes the temperature at which the PL and reflectance spectra are measured (4.2 K). Using the bimetallic strip model, the energy separation between the heavy- and light-hole FE lines is calculated to be 4.08 meV. The energy separation calculated using the bimetallic strip model is larger than that estimated from the peak energies of the heavy- and light-hole FE lines. However, the difference is very small.

	CdTe	GaAs
Hydrostatic deformation potential $a$ (eV)	-3.3 [7]	
Shear deformation potential $b$ (eV)	-1.4 [7]	
Elastic stiffness constant $C_{11}$ (GPa)	53.3 [8]	119.0 [9]
Elastic stiffness constant $C_{12}$ (GPa)	36.5 [8]	53.8 [9]
Thermal expansion coefficient $\alpha$ ( $\times 10^{-6}$ K <sup>-1</sup> )	5.1 [8]	7.2 [10]
Thickness ( $\mu\text{m}$ )	3.4	500

Table 1. Physical parameters used in the calculation.

As the H<sub>2</sub> heat treatment temperature increases, there is no change in the dip and peak positions. The widths of the heavy-hole and light-hole FE lines decrease gradually as the H<sub>2</sub> heat treatment temperature increases.

We carried out Lorentzian deconvolution of the heavy-hole and light-hole FE lines after H<sub>2</sub> heat treatment in order to estimate the change in their widths with H<sub>2</sub> heat treatment. The deconvolved heavy-hole and light-hole FE lines at the H<sub>2</sub> heat treatment temperature of 450°C are plotted as broken lines (lower trace) in Fig. 1.

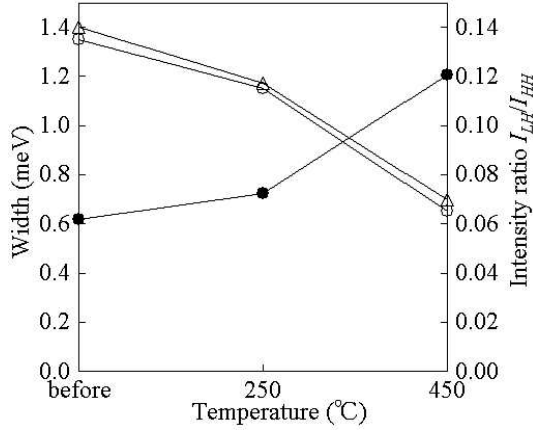


Fig. 2. Widths of heavy-hole (open circles) and light-hole (open triangles) FE lines plotted against H<sub>2</sub> heat treatment temperature. Intensity ratios of light-hole FEs to heavy-hole FEs (closed circles) plotted against H<sub>2</sub> heat treatment temperature. The solid lines are eye guides.

In Fig. 2, the widths of the heavy-hole and light-hole FE lines are plotted against H<sub>2</sub> heat treatment temperature. The widths of the heavy-hole and light-hole FE lines decrease with increasing H<sub>2</sub> heat treatment temperature. The decrease in the widths of the FE lines are indicative of low level impurity scattering. This suggests that the H<sub>2</sub> heat treatment improves the CdTe film quality. We also estimate the intensity ratios of light-hole FEs ( $I_{LH}$ ) to heavy-hole FEs ( $I_{HH}$ ), i. e.,  $I_{LH}/I_{HH}$ .  $I_{LH}/I_{HH}$  is plotted against the H<sub>2</sub> heat treatment temperature in Fig. 2. The values of  $I_{HH}$  and  $I_{LH}$  are also estimated via Lorentzian deconvolution.  $I_{LH}/I_{HH}$  increases with increasing H<sub>2</sub> heat treatment temperature. The increase in the emission intensity of the light-hole FE line suggests that the crystallinity of the CdTe film is improved via H<sub>2</sub> heat treatment.

In order to clearly observe the effect of H<sub>2</sub> heat treatment on the reflectance spectra, we carried out a theoretical fitting of the reflectance spectra. Theoretical reflectance spectra were calculated using a double-layer model [6]. The polarizabilities and broadenings of the heavy- and light-hole FE transitions were obtained from these spectra by using a fit function. The theoretical reflectance spectrum was calculated by using the equation [6]

$$\varepsilon(\omega) = \varepsilon_H + \frac{A_n \omega_n^2}{\omega_n^2 - \omega^2 - i\omega\Gamma_n}, \quad (12)$$

where  $\varepsilon_H$  is the background dielectric constant,  $\omega_n = (E_g - E_n)/\hbar$  is the corresponding circular frequency associated with the heavy-hole ( $n = hh$ ) or light-hole ( $n = lh$ ) FE transition,  $A_n$  is the polarizability, and  $\Gamma_n$  is the associated broadening. In this calculation, we use  $\varepsilon_H = 7.1$  [11]. The theoretical reflectance spectra of light-hole and heavy-hole FE transitions at the H<sub>2</sub> heat treatment temperature of 450°C are shown as broken lines (upper trace) in Fig. 1.

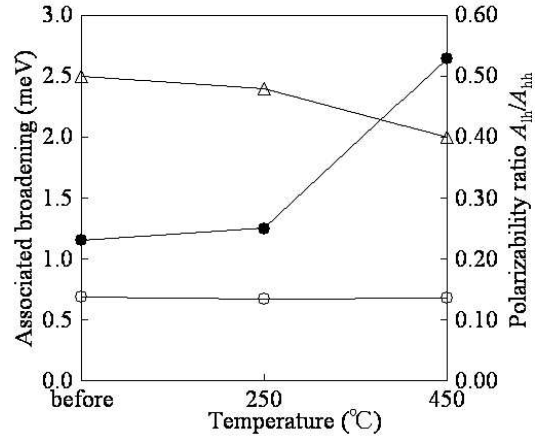


Fig. 3. Associated broadenings of the heavy-hole (open circles) and light-hole FE (open triangles) transitions plotted against H<sub>2</sub> heat treatment temperature. Polarizability ratios of light-hole FEs to heavy-hole FEs (closed circles) plotted against H<sub>2</sub> heat treatment temperature. The solid lines are eye guides.

Figure 3 shows associated broadenings of the heavy-hole ( $\Gamma_{hh}$ ) and light-hole FE ( $\Gamma_{lh}$ ) transitions plotted against the H<sub>2</sub> heat treatment temperature.  $\Gamma_{lh}$  decreases with increasing H<sub>2</sub> heat treatment temperature, whereas  $\Gamma_{hh}$  remains virtually constant. The decrease in  $\Gamma_{lh}$  is a indication of improved CdTe crystallinity because the damping parameter is attributed to the dislocation density resulting from layer relaxation. [12] We also estimate the ratios of  $A_{lh}$  (light-hole FE) to  $A_{hh}$  (heavy-hole FE), i. e.,  $A_{lh}/A_{hh}$ .  $A_{lh}/A_{hh}$  is plotted against the H<sub>2</sub> heat treatment temperature in Fig. 3; it increases with increasing H<sub>2</sub> heat treatment temperature. The increase in the polarizabilities of light-hole FEs suggests that the crystallinity of CdTe is improved by H<sub>2</sub> heat treatment.

#### IV. SUMMARY

We analyzed the PL and reflectance spectra of CdTe films grown on GaAs substrates in order to characterize the effect of H<sub>2</sub> heat treatment on these films.

By Lorentzian deconvolution of the heavy-hole and light-hole FE lines, their widths decrease with increasing H<sub>2</sub> heat treatment temperature. The intensity of the light-hole FE line increases with increasing H<sub>2</sub> heat treatment temperature. By fitting the theoretical reflectance spectra to the measured reflectance spectra,

$\Gamma_{lh}$  decreases with increasing  $H_2$  heat treatment temperature, whereas  $\Gamma_{hh}$  remains virtually constant. The polarizabilities of light-hole FEs increase with increasing

$H_2$  heat treatment temperature.

The results mentioned above suggest that the crystallinity of CdTe films is improved by  $H_2$  heat treatment.

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#### ВПЛИВ ТЕРМООБРОБКИ МОЛЕКУЛЯРНИМ ВОДНЕМ НА КРИСТАЛІЧНІСТЬ ПЛІВОК CdTe, ВИРОЩЕНИХ НА СУБСТРАТАХ GaAs

Чікара Онодера<sup>1</sup>, Масаакі Йошіда<sup>2</sup>

<sup>1</sup>Вища технічна школа Товади, префектура Аоморі, Японія

<sup>2</sup>Національний технологічний коледж Гачінобе, префектура Аоморі, Японія

Вивчено вплив термообробки молекулярним воднем  $H_2$  на плівки CdTe, вирощені на субстратах GaAs, з використанням спектрів фотолюмінесценції та відбивання. Було виявлено залежність спектрів спектрів емісії та відбивання важко- та легкодіркових вільних екситонів (ВЕ) від температури термообробки. Енергетична відстань між лініями важко- та легкодіркових ВЕ, розрахована в межах біметалічної смугової моделі (4.08 meV), добре узгоджується з оцінкою, отриманою з енергетичних піків відповідних ліній (4.0 meV). Через лоренцівську деконволюцію ліній важко- та легкодіркових ВЕ значення їхньої ширини зменшуються зі зростанням температури термообробки. Узгодження теоретичних і вимірних спектрів відбивання знижує розширення ліній легкодіркових ВЕ зі зростанням температури термообробки. Спостереження показують, що кристалічність плівок CdTe поліпшується термообробкою молекулярним воднем  $H_2$ .