

## EFFECT OF STRESSES $\sigma_5$ , $\sigma_6$ AND ELECTRIC FIELD $E_3$ ON THERMODYNAMIC CHARACTERISTICS OF GPI FERROELECTRIC

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The model of glycine phosphite (GPI) ferroelectric, modified by taking into account the piezoelectric coupling between the ordering structure elements and the lattice strains  $\varepsilon_j$ , is used for the investigation into the effects appearing under shear stresses  $\sigma_5$ ,  $\sigma_6$  and electric field  $E_3$ . Within the framework of the two particle cluster approximation, the components of the polarization vector and the static dielectric permittivity tensor of a mechanically clamped crystal, and also their piezoelectric and thermal characteristics are calculated. The simultaneous effects of shear stress  $\sigma_5$  and field  $E_3$  as well as the effects of stress  $\sigma_6$  and field  $E_3$  on the phase transition and physical characteristics of the crystal are studied.

**Key words:** ferroelectrics, phase transition, dielectric permittivity, piezoelectric coefficients, shear stress effect, electric field effect.

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### I. INTRODUCTION

Investigation into the effects appearing under mechanical stress and external electric field is one of actual problems in the physics of ferroelectric materials, especially regarding the glycine phosphite crystal (GPI) that belongs to the ferroelectric compounds with hydrogen bonds [1].

Refs. [2,3] describe an experimental study of the effect of the transverse electric field  $E_3$  on the transverse dielectric permittivity  $\varepsilon_{33}$  of GPI crystal. It is shown that the electric field  $E_3$  decreases the phase transition temperature proportionally to  $E_3^2$ . Considerable (and increasing with the field) anomalies of the permittivity  $\varepsilon_{33}$  were revealed in the phase transition region at  $E_3 \neq 0$ . An explanation of the revealed effects is presented in [2–4] based on phenomenologic theory as well as within the microscopic approach using the proposed proton model of GPI. But a complete quantitative description of the experimental data was not achieved.

In [5], based on the proton model [3], a model of deformed crystal is proposed, which takes into account the piezoelectric coupling of the proton and lattice subsystems. Using this model, the effect of electric fields  $E_1$  and  $E_3$  on the dielectric and piezoelectric properties of GPI was investigated. The abovementioned experimental data [3] for the temperature dependence of  $\varepsilon_{33}$  in the presence of field  $E_3$  were quantitatively correctly described.

The model of GPI [5] was generalised in [7] to the case of the reduction of symmetry in the presence of the shear strains  $\varepsilon_4$  and  $\varepsilon_6$ . Another special case was studied: the effect of shear stresses on a GPI crystal in the absence of any electric field. It was shown that the shear stress

$\sigma_5$  does not change the thermodynamic characteristics qualitatively, but the shear stresses  $\sigma_4$  or  $\sigma_6$  lead to the appearance of the components of a spontaneous polarization along OX and OZ axes in the ferroelectric phase, and to the appearance of a divergence at the temperature  $T_c$  in transverse permittivities  $\varepsilon_{11}$  and  $\varepsilon_{33}$ .

In the present paper, based on model [7], we investigate the general case of a simultaneous effect of the transverse electric field  $E_3$  and the shear stresses  $\sigma_4$  and  $\sigma_6$  on the phase transition and on the dielectric and piezoelectric characteristics of GPI. Also we demonstrate, how the results previously obtained in [5] are reproduced within the model generalised in [7].

### II. THE MODEL OF GPI CRYSTAL

Models [5,7] consider the system of protons in GPI, localised on O–H...O bonds between the phosphite groups  $\text{HPO}_3$ , which form zigzag chains along the crystallographic  $c$ -axis of the crystal (see Fig. 1). For a better understanding of the model, only the phosphite groups are shown in the figure. Dipole moments  $\mathbf{d}_{qf}$  ( $f = 1, \dots, 4$ ) are ascribed to the protons on the bonds. In the ferroelectric phase, the dipole moments compensate each other ( $\mathbf{d}_{q1}$  with  $\mathbf{d}_{q3}$ ,  $\mathbf{d}_{q2}$  with  $\mathbf{d}_{q4}$ ) in directions  $Z$  and  $X$  ( $X \perp (b, c)$ ,  $Y \parallel b$ ,  $Z \parallel c$ ), and simultaneously supplement each other in direction  $Y$ , creating a spontaneous polarization.

Pseudospin variables  $\frac{\sigma_{q1}}{2}, \dots, \frac{\sigma_{q4}}{2}$  describe the reorientation of the dipole moments of the base units:  $\mathbf{d}_{qf} = \boldsymbol{\mu}_f \frac{\sigma_{qf}}{2}$ . Mean values  $\langle \frac{\sigma}{2} \rangle = \frac{1}{2}(n_a - n_b)$  are connected with the differences in the occupancy of the two possible molecular positions,  $n_a$  and  $n_b$ .



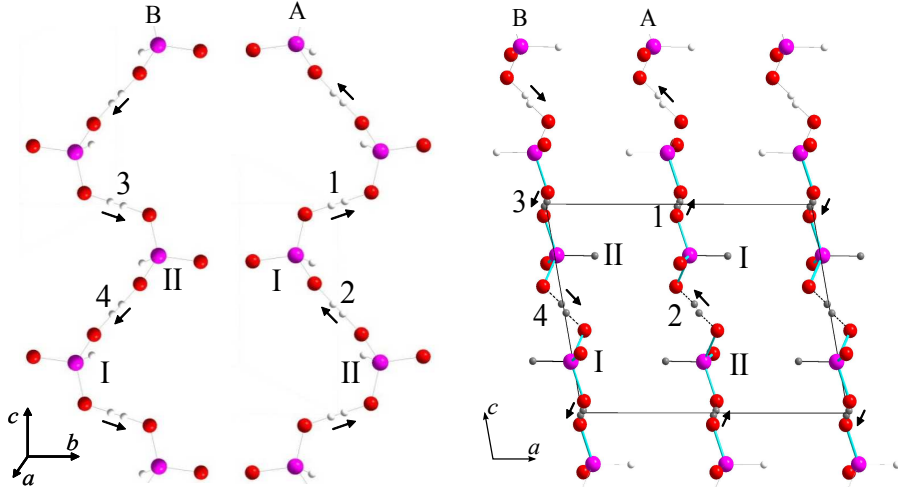


Fig. 1. Orientations of vectors  $\mathbf{d}_{qf}$  in the primitive cell in ferroelectric phase [5, 7].

Hereinafter for convenience we use notations 1, 2 and 3 instead of  $x$ ,  $y$  and  $z$  for components of vectors and tensors. The Hamiltonian of the proton subsystem of GPI, which takes into account the short-range and long-range interactions, and also the applied mechanical stresses and electric fields  $E_1$ ,  $E_2$ ,  $E_3$  along the positive directions of the Cartesian axes  $X$ ,  $Y$  and  $Z$ , can be written in such a way [7]:

$$\hat{H} = NU_{\text{seed}} + \hat{H}_{\text{short}} + \hat{H}_{\text{long}} + \hat{H}_E, \quad (2.1)$$

where  $N$  is the total number of primitive cells. The term  $U_{\text{seed}}$  in (2.1) is the “seed” energy, which relates to the heavy ion sublattice and does not explicitly depend on the configuration of the proton subsystem. It includes elastic, piezoelectric and dielectric parts, expressed

in terms of electric fields  $E_i$  ( $i=1, 2, 3$ ) and strains  $\varepsilon_j$  ( $j=1, \dots, 6$ ):

$$U_{\text{seed}} = v \left( \frac{1}{2} \sum_{j,j'=1}^6 c_{jj'}^{E0}(T) \varepsilon_j \varepsilon_{j'} - \sum_{i=1}^3 \sum_{j=1}^6 e_{ij}^0 \varepsilon_j E_i - \sum_{i,i'=1}^3 \frac{1}{2} \chi_{ii'}^{\varepsilon 0} E_i E_{i'} \right). \quad (2.2)$$

Parameters  $c_{jj'}^{E0}(T)$ ,  $e_{ij}^0$ ,  $\chi_{ii'}^{\varepsilon 0}$  are the so called “seed” elastic constants, “seed” coefficients of piezoelectric stresses and “seed” dielectric susceptibilities, respectively;  $v$  is the volume of a primitive cell. Matrices  $c_{jj'}^{E0}$ ,  $e_{ij}^0$ ,  $\chi_{ii'}^{\varepsilon 0}$  are given by:

$$\hat{c}_{jj'}^{E0} = \begin{pmatrix} c_{11}^{E0} & c_{12}^{E0} & c_{13}^{E0} & 0 & c_{15}^{E0} & 0 \\ c_{12}^{E0} & c_{22}^{E0} & c_{23}^{E0} & 0 & c_{25}^{E0} & 0 \\ c_{13}^{E0} & c_{23}^{E0} & c_{33}^{E0} & 0 & c_{35}^{E0} & 0 \\ 0 & 0 & 0 & c_{44}^{E0} & 0 & c_{46}^{E0} \\ c_{15}^{E0} & c_{25}^{E0} & c_{35}^{E0} & 0 & c_{55}^{E0} & 0 \\ 0 & 0 & 0 & c_{46}^{E0} & 0 & c_{66}^{E0} \end{pmatrix}, \quad \hat{e}_{ij}^0 = \begin{pmatrix} 0 & 0 & 0 & e_{14}^0 & 0 & e_{16}^0 \\ e_{21}^0 & e_{22}^0 & e_{23}^0 & 0 & e_{25}^0 & 0 \\ 0 & 0 & 0 & e_{34}^0 & 0 & e_{36}^0 \end{pmatrix}, \quad (2.3)$$

$$\hat{\chi}_{ii'}^{\varepsilon 0} = \begin{pmatrix} \chi_{11}^{\varepsilon 0} & 0 & \chi_{13}^{\varepsilon 0} \\ 0 & \chi_{22}^{\varepsilon 0} & 0 \\ \chi_{13}^{\varepsilon 0} & 0 & \chi_{33}^{\varepsilon 0} \end{pmatrix}.$$

In the paraelectric phase, all coefficients  $e_{ij}^0 \equiv 0$ .

Other terms in (2.1) describe the pseudospin part of the Hamiltonian. In particular, the second term in (2.1) is the Hamiltonian of short-range interactions:

$$\hat{H}_{\text{short}} = -2 \sum_{qq'} \left( w_1 \frac{\sigma_{q1}}{2} \frac{\sigma_{q2}}{2} + w_2 \frac{\sigma_{q3}}{2} \frac{\sigma_{q4}}{2} \right) \times (\delta_{\mathbf{R}_q \mathbf{R}_{q'}} + \delta_{\mathbf{R}_q + \mathbf{R}_c, \mathbf{R}_{q'}}). \quad (2.4)$$

In (2.4),  $\sigma_{qf}$  is the  $z$ -component of the pseudospin operator that describes the state of the  $f$ -th bond ( $f = 1, 2, 3, 4$ ) in the  $q$ -th cell. The first Kronecker delta corresponds to the interaction between protons in the chains near the tetrahedra  $\text{HPO}_3$  of type “I” (see Fig. 1), while the second one near the tetrahedra  $\text{HPO}_3$  of type “II”,  $\mathbf{R}_c$  is the lattice vector along  $OZ$ -axis. The contributions into the energy of interactions between the protons near tetrahedra of different types, as well as the mean

values of the pseudospins  $\eta_f = \langle \sigma_{qf} \rangle$ , which are related to the tetrahedra of different types, are identical.

Parameters  $w_1, w_2$ , which describe the short-range interactions within the chains, are expanded linearly into series over strains  $\varepsilon_j$ :

$$w_{1,2} = w^0 + \sum_l \delta_l \varepsilon_l \pm \delta_4 \varepsilon_4 \pm \delta_6 \varepsilon_6, \quad (l = 1, 2, 3, 5). \quad (2.5)$$

The third term in (2.1) describes the long-range dipole-dipole interactions and indirect (through the lattice vibrations) interactions between protons which are taken into account in the mean field approximation:

$$\begin{aligned} \hat{H}_{\text{long}} = & \frac{1}{2} \sum_{\substack{qq' \\ ff'}} J_{ff'}(qq') \frac{\langle \sigma_{qf} \rangle}{2} \frac{\langle \sigma_{q'f'} \rangle}{2} \\ & - \sum_{\substack{qq' \\ ff'}} J_{ff'}(qq') \frac{\langle \sigma_{q'f'} \rangle}{2} \frac{\sigma_{qf}}{2}. \end{aligned} \quad (2.6)$$

Fourier transforms of interaction constants  $J_{ff'}$  =  $\sum_{q'} J_{ff'}(qq')$  at  $\mathbf{k} = 0$  are linearly expanded over strains  $\varepsilon_j$ :

$$\begin{aligned} J_{\frac{11}{33}} &= J_{11}^0 + \sum_l \psi_{11l} \varepsilon_l \pm \psi_{114} \varepsilon_4 \pm \psi_{116} \varepsilon_6, \quad J_{13} = J_{13}^0 + \sum_l \psi_{13l} \varepsilon_l + \psi_{134} \varepsilon_4 + \psi_{136} \varepsilon_6, \\ J_{\frac{12}{34}} &= J_{12}^0 + \sum_l \psi_{12l} \varepsilon_l \pm \psi_{124} \varepsilon_4 \pm \psi_{126} \varepsilon_6, \quad J_{\frac{14}{33}} = J_{14}^0 + \sum_l \psi_{14l} \varepsilon_l \pm \psi_{144} \varepsilon_4 \pm \psi_{146} \varepsilon_6, \\ J_{\frac{22}{44}} &= J_{22}^0 + \sum_l \psi_{22l} \varepsilon_l \pm \psi_{224} \varepsilon_4 \pm \psi_{226} \varepsilon_6, \quad J_{24} = J_{24}^0 + \sum_l \psi_{24l} \varepsilon_l + \psi_{244} \varepsilon_4 + \psi_{246} \varepsilon_6. \end{aligned} \quad (2.7)$$

In model [5], there is sign “+” instead of “±” in expressions (2.5) and (2.7), that is  $w_1 = w_2$ ,  $J_{11} = J_{33}$ ,  $J_{12} = J_{34}$ ,  $J_{14} = J_{23}$ ,  $J_{22} = J_{44}$ .

As a result, (2.6) can be written as:

$$\hat{H}_{\text{long}} = NH^0 - \sum_q \sum_{f=1}^4 \mathcal{H}_f \frac{\sigma_{qf}}{2}, \quad (2.8)$$

where

$$H^0 = \sum_{f,f'=1}^4 \frac{1}{8} J_{ff'} \eta_f \eta_{f'}, \quad \mathcal{H}_f = \sum_{f'=1}^4 \frac{1}{2} J_{ff'} \eta_{f'}. \quad (2.9)$$

The fourth term in (2.1) describes the interactions of pseudospins with the external electric field:

$$\hat{H}_E = - \sum_{qf} \boldsymbol{\mu}_f \mathbf{E} \frac{\sigma_{qf}}{2}. \quad (2.10)$$

Here,  $\boldsymbol{\mu}_1 = (\mu_{13}^x, \mu_{13}^y, \mu_{13}^z)$ ,  $\boldsymbol{\mu}_3 = (-\mu_{13}^x, \mu_{13}^y, -\mu_{13}^z)$ ,  $\boldsymbol{\mu}_2 = (-\mu_{24}^x, -\mu_{24}^y, \mu_{24}^z)$ ,  $\boldsymbol{\mu}_4 = (\mu_{24}^x, -\mu_{24}^y, -\mu_{24}^z)$  are the effective dipole moments per one pseudospin.

In [7], using Hamiltonian (2.1) and the two-particle cluster approximation, the thermodynamic potential of GPI was obtained in the presence of mechanical stresses and electric fields. On the basis of this thermodynamic potential, the expressions for the dielectric, piezoelectric and thermal characteristics were derived for any direction of the stress or field. In the present paper, we use the expressions obtained in [7] for the calculation of the simultaneous effect of the shear stress  $\sigma_5$  or  $\sigma_6$  together with the electric field  $E_3$  on the thermodynamic characteristics of GPI. We do not show the explicit expressions for these characteristics in the present paper because of their complicated nature.

### III. THE RESULTS OF NUMERICAL CALCULATIONS

For the numerical calculation of the dielectric and piezoelectric characteristics of GPI, we have used the parameters determined in [7] and earlier in [5] from the condition of agreement of the calculated characteristics with experimental data. They are enumerated below.

- The parameter of the short-range interactions  $w_0/k_B=800$  K (820 K in [5]);
- The parameters of the long-range interactions appear in the expressions obtained in [5, 7] in the form of such combinations  $\nu_1^{0\pm} = \frac{1}{4}(J_{11}^0 \pm J_{13}^0)$ ,  $\nu_2^{0\pm} = \frac{1}{4}(J_{12}^0 \pm J_{14}^0)$ ,  $\nu_3^{0\pm} = \frac{1}{4}(J_{22}^0 \pm J_{24}^0)$ ; they are equal to:  $\tilde{\nu}_1^{0+} = \tilde{\nu}_2^{0+} = \tilde{\nu}_3^{0+} = 3.065$  K (2.643 K in [5]),  $\tilde{\nu}_1^{0-} = \tilde{\nu}_2^{0-} = \tilde{\nu}_3^{0-} = 0.05$  K (0.2 K in [5]), where  $\tilde{\nu}_f^{0\pm} = \nu_f^{0\pm}/k_B$ .
- The optimal values of the deformational potentials:  $\tilde{\delta}_1=500$  K,  $\tilde{\delta}_2=600$  K,  $\tilde{\delta}_3=500$  K,  $\tilde{\delta}_4=150$  K,  $\tilde{\delta}_5=100$  K,  $\tilde{\delta}_6=150$  K;  $\tilde{\delta}_i=\delta_i/k_B$ ; the parameters  $\psi_{iij}$  also appear in the expressions obtained in [5, 7] in the form of combinations  $\psi_{1j}^{\pm} = \frac{1}{4}(\psi_{11j} \pm \psi_{13j})$ ,  $\psi_{2j}^{\pm} = \frac{1}{4}(\psi_{12j} \pm \psi_{14j})$ ,  $\psi_{3j}^{\pm} = \frac{1}{4}(\psi_{22j} \pm \psi_{24j})$ . These combinations are equal to:  $\tilde{\psi}_{f1}^+ = 93.6$  K,  $\tilde{\psi}_{f2}^+ = 252.5$  K,  $\tilde{\psi}_{f3}^+ = 110.7$  K,  $\tilde{\psi}_{f4}^+ = \tilde{\psi}_{f6}^+ = \tilde{\psi}_{f4}^- = \tilde{\psi}_{f6}^- = 79.5$  K,  $\tilde{\psi}_{f5}^+ = 22.7$  K,

$\tilde{\psi}_{f1}^- = \tilde{\psi}_{f2}^- = \tilde{\psi}_{f3}^- = \tilde{\psi}_{f5}^- = 0$  K, where  $\tilde{\psi}_{fi}^\pm = \psi_{fi}^\pm / k_B$ . In [5] the parameters  $\tilde{\psi}_{fi}^\pm$  were:  $\tilde{\psi}_{f1}^+ = 87.9$  K,  $\tilde{\psi}_{f2}^+ = 237.0$  K,  $\tilde{\psi}_{f3}^+ = 103.8$  K,  $\tilde{\psi}_{f4}^+ = 149.1$  K,  $\tilde{\psi}_{f5}^+ = 21.3$  K,  $\tilde{\psi}_{f6}^+ = 143.8$  K,  $\tilde{\psi}_{fi}^- = 0$  K.

- The components of effective dipole moments in the paraelectric phase are equal to  $\mu_{13}^x = 0.4 \cdot 10^{-18}$  esu-cm;  $\mu_{13}^y = 4.05 \cdot 10^{-18}$  esu-cm ( $4.02 \cdot 10^{-18}$  esu-cm in [5]);  $\mu_{13}^z = 4.2 \cdot 10^{-18}$  esu-cm ( $4.3 \cdot 10^{-18}$  esu-cm in [5]);  $\mu_{24}^x = 2.3 \cdot 10^{-18}$  esu-cm;  $\mu_{24}^y = 3.0 \cdot 10^{-18}$  esu-cm;  $\mu_{24}^z = 2.2 \cdot 10^{-18}$  esu-cm. In the ferroelectric phase, the  $y$ -component of the first dipole moment is  $\mu_{13\text{ferro}}^y = 3.82 \cdot 10^{-18}$  esu-cm; the other components are such as in the paraelectric phase.
- The volume of the primitive cell of GPI is  $v = 0.601 \cdot 10^{-21}$  cm<sup>3</sup>.
- The “seed” coefficients of piezoelectric stress  $e_{ij}^0$ , “seed” dielectric susceptibilities  $\chi_{ij}^{\varepsilon 0}$  and “seed” elastic constants  $c_{ij}^{E0}$  are obtained as follows:  $e_{ij}^0 = 0.0 \frac{\text{esu}^2}{\text{cm}^2}$ ;  
 $\chi_{11}^{\varepsilon 0} = 0.1$ ,  $\chi_{22}^{\varepsilon 0} = 0.403$ ,  $\chi_{33}^{\varepsilon 0} = 0.5$ ,  $\chi_{31}^{\varepsilon 0} = 0.0$ ;  
 $c_{11}^{E0} = 269.1$  kbar,  $c_{12}^{E0} = 145$  kbar,  
 $c_{13}^{E0} = 116.4$  kbar,  $c_{15}^{E0} = 39.1$  kbar,

$$c_{22}^{E0} = (649.9 - 0.4(T - T_c)) \text{ kbar},$$

$$c_{23}^{E0} = 203.8 \text{ kbar}, \quad c_{25}^{E0} = 56.4 \text{ kbar},$$

$$c_{33}^{E0} = 244.1 \text{ kbar}, \quad c_{35}^{E0} = -28.4 \text{ kbar},$$

$$c_{55}^{E0} = 85.4 \text{ kbar}, \quad c_{44}^{E0} = 153.1 \text{ kbar},$$

$$c_{46}^{E0} = -11 \text{ kbar}, \quad c_{66}^{E0} = 118.8 \text{ kbar}.$$

Now, let us dwell upon the obtained results. Figure 2 presents the temperature dependences of spontaneous polarization  $P_2$ , inverse longitudinal dielectric permittivity  $\varepsilon_{22}^{-1}$ , transverse permittivities  $\varepsilon_{11}$  and  $\varepsilon_{33}$ , molar heat capacity  $C_p$ , piezoelectric coefficients  $e_{2i}$ ,  $d_{2i}$ ,  $h_{2i}$  and  $g_{2i}$  of the GPI crystal calculated in [5] (dash-dotted lines), as well as corresponding temperature dependences calculated later in [7] taking into account the change of symmetry in the presence of the shear strains  $\varepsilon_4$  or  $\varepsilon_6$  (solid lines).

As one can see from Fig. 2, taking into account the change of symmetry and the corresponding correction of the theory parameters have weakly influenced the thermodynamic characteristics of the GPI crystal in the absence of any stresses and fields. The results of the investigation into the effects of the hydrostatic and uniaxial pressure on the thermodynamic characteristics of GPI, which are calculated using the earlier parameters [12, 13] and the corrected parameters [7], do not much differ either.

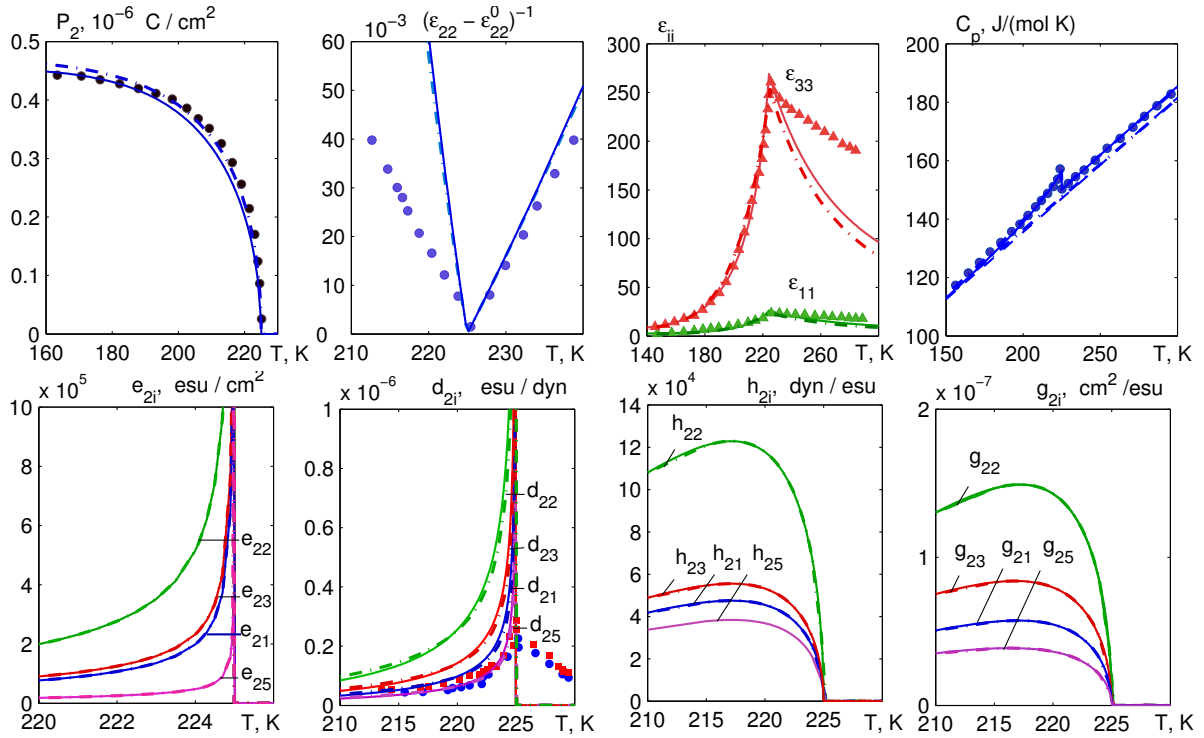


Fig. 2. (Color online). The temperature dependences of spontaneous polarization  $P_2$  (• – [8]), inverse longitudinal dielectric permittivity  $\varepsilon_{22}^{-1}$  (• – [9]), transverse permittivities  $\varepsilon_{11}$ ,  $\varepsilon_{33}$  (▲, ▲ – [1]), molar heat capacity  $C_p$  (• – [10]), piezoelectric coefficients  $e_{2i}$ ,  $d_{2i}$  ( $d_{21}$  – • [11],  $d_{23}$  – • [11]),  $h_{2i}$ ,  $g_{2i}$  of GPI crystal.

Now let us consider how to change the thermodynamic characteristics of the GPI crystal at the simultaneous application of the shear stress  $\sigma_5$  or  $\sigma_6$  and the electric field  $E_3$ . It should be noted that experimental data for  $\varepsilon_{22}$  obtained by different authors slightly differ from each other (see [5]). It is quite likely that this is connected with the different quality of the samples. In our model, this disagreement means different effective dipole moments  $\mu_{13}^y$  in different samples. We assume that there can exist a sample with the same  $\mu_{13}^y$  in the ferro- and paraelectric phases. Therefore, for the sake of simplicity, hereinafter we consider a case of the GPI sample with the same value of the effective dipole moment in the paraelectric and ferroelectric phase  $\mu_{13\text{ferro}}^y = \mu_{13\text{para}}^y = 3.82 \cdot 10^{-18}$  esu-cm.

Also we must note that in all further figures the main number 5 or 6 means the direction of the applied stress ( $\sigma_5$  or  $\sigma_6$ , respectively), the upper index shows the value of the stress (kbar), and the lower index shows the value of the electric field strength (MV/m). For example, the notation  $5_4^{-2}$  means the shear stress  $\sigma_5 = -2$  kbar and electric field  $E_3 = 4$  MV/m applied simultaneously.

Figure 3 shows the dependences of the phase transition temperature  $T_c$  in the GPI crystal on the electric field  $E_3$  at different values of the shear stress  $\sigma_5$ .

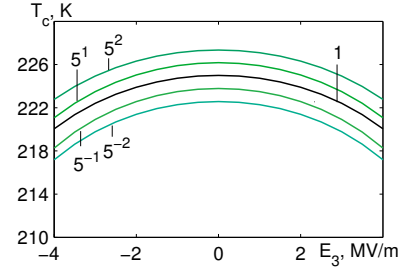


Fig. 3. The dependences of the phase transition temperature  $T_c$  in GPI crystal on the electric field  $E_3$  at different values of the shear stress  $\sigma_5$ . Curve 1 corresponds to the case of  $\sigma_j = 0$ , another curves correspond to the stresses  $\sigma_5 = \pm 1, \pm 2$  kbar.

In the absence of the stress, temperature  $T_c$  depends on field  $E_3$  by the law  $T_c(E_3) \sim -E_3^2$  (curve 1 in Fig. 3). On the other hand, at zero field, temperature  $T_c$  linearly increases with stress  $\sigma_5$  because of the strengthening of the interactions between pseudospins under this stress (see [7]). Since stress  $\sigma_5$  does not change the symmetry of the crystal, the curve  $T_c(E_3)$  qualitatively does not change under this stress, but only shifts in temperature (Fig. 3, curves  $5^{\pm 1}$ ,  $5^{\pm 2}$  at  $\sigma_5 = \pm 1, \pm 2$  kbar, respectively).

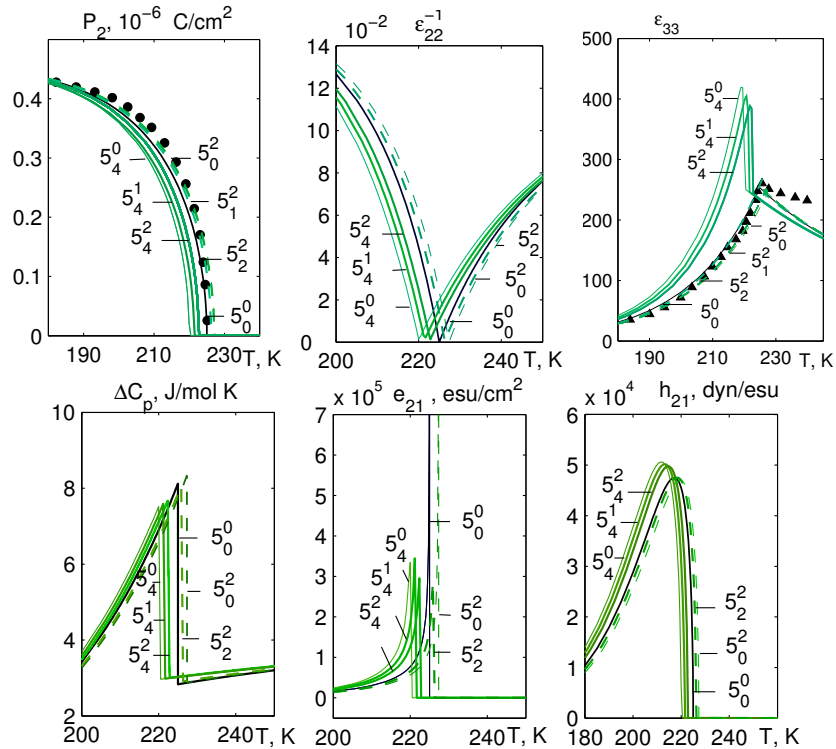


Fig. 4. The temperature dependences of polarization  $P_2$  ( $\bullet$  are taken from [8]), inverse longitudinal dielectric permittivity  $\varepsilon_{22}^{-1}$ , transverse dielectric permittivity  $\varepsilon_{33}$  ( $\blacktriangle$  – [1]), proton contribution to molar heat capacity  $\Delta C_p$ , longitudinal piezoelectric coefficients  $e_{21}$ ,  $h_{21}$  of GPI crystal at different values of the stress  $\sigma_5$  and the electric field  $E_3$ .

The temperature dependences of the thermodynamic characteristics at different values of stress  $\sigma_5$  and electric field  $E_3$  are presented in Figs. 4 and 5. On these figures

curves  $5_0^0$  correspond to the case of a zero stress and field. In the special case of a zero stress but a nonzero field  $E_3$  (curves  $5_4^0$  at  $\sigma_5 = 0$  kbar and  $E_3 = 4$  MV/m), the curves

of temperature dependences are shifted to lower temperatures in relation to curves  $5_0^0$ . Besides, as one can see from Fig. 4, permittivity  $\varepsilon_{33}$  in the ferroelectric phase significantly increases in the presence of field  $E_3$ . As was shown in [6], the decrease of  $T_c$  and increase in  $\varepsilon_{33}$  in the ferroelectric phase are connected with the partial disorder-

ing in the chain "B" (Fig. 1) under the action of field  $E_3$ . One more peculiarity is the change of sign of the transverse piezoelectric coefficients  $e_{1j}$ ,  $e_{3j}$ ,  $h_{1j}$  and  $h_{3j}$  near  $T_c$  (see Fig. 5) in field  $E_3$ , which is connected with almost complete disordering of protons in the chain "B" near  $T_c$ , as was shown in [14].

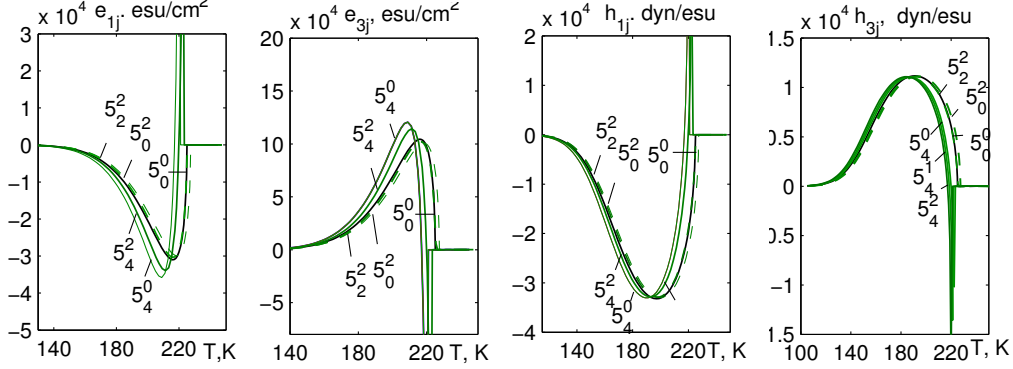


Fig. 5. The temperature dependences of transverse piezoelectric coefficients  $e_{1j} = e_{14} = e_{16}$ ,  $e_{3j} = e_{34} = e_{36}$ ,  $h_{1j} = h_{14} = h_{16}$  and  $h_{3j} = h_{34} = h_{36}$  of GPI crystal at different values of the stress  $\sigma_5$  and the electric field  $E_3$ .

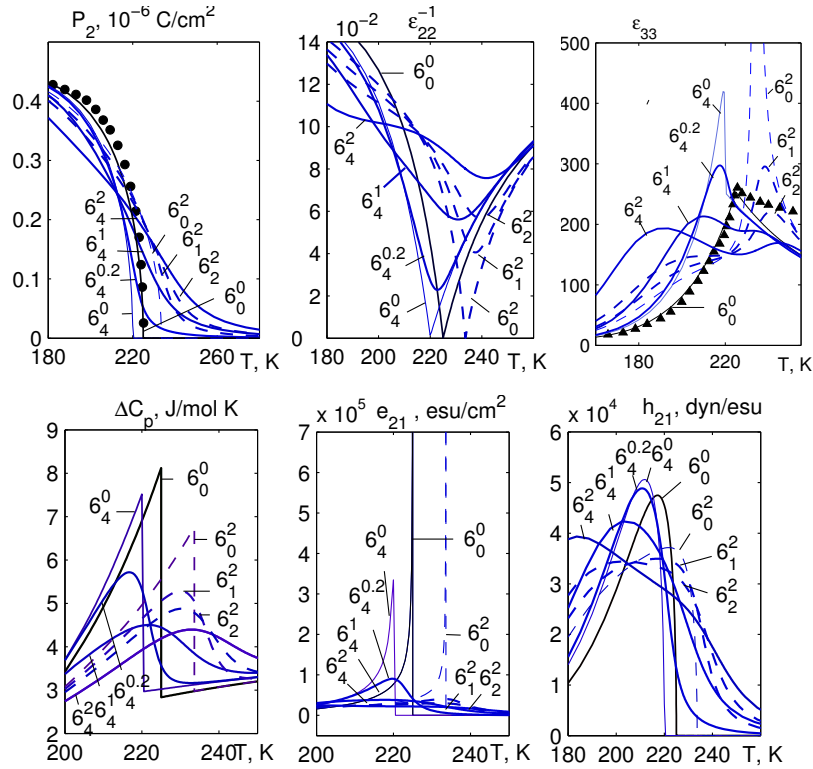


Fig. 6. The temperature dependences of polarization  $P_2$  ( $\bullet$  – [8]), inverse longitudinal dielectric permittivity  $\varepsilon_{22}^{-1}$ , transverse dielectric permittivity  $\varepsilon_{33}$  ( $\blacktriangle$  – [1]), proton contribution to molar heat capacity  $\Delta C_p$ , longitudinal piezoelectric coefficients  $e_{21}$ ,  $h_{21}$  of GPI crystal at different values of the stress  $\sigma_6$  and the electric field  $E_3$ .

Stress  $\sigma_5$  at the constant field  $E_3$  leads to a shift of the curves of the temperature dependences of the abovementioned thermodynamic characteristics to higher temperatures. That is, curves  $5_0^2$  (2 kbar, 0 MV/m) are shifted to higher temperatures in relation to curves  $5_0^0$  (0 kbar, 0 MV/m); curves  $5_4^2$  are shifted in relation to  $5_4^0$  and so on.

In the case of the application of the shear stress  $\sigma_6$  in a zero field (curves  $6_0^2$  in all the figures), the symmetry of the crystal reduces, and the two sublattices (the chains "A" and "B") become nonequivalent (see [7]). As a result, the interactions between pseudospins in chain "A" become stronger, but in chain "B" they become weaker. Strengthening of interactions in a sublattice at some

value of stress  $\sigma_6$  causes a phase transition to the ferroelectric phase and increases temperature  $T_c$ . Therefore, curves  $P_2(T)$ ,  $\varepsilon_{22}(T)$ ,  $e_{21}(T)$ ,  $h_{21}(T)$ ,  $\Delta C_p(T)$  shift to higher temperatures (Fig. 6). Since chains “A” and “B” become nonequivalent, then the polarizations of both sublattices in the  $XZ$  plane do not compensate each other, similarly as in ferrimagnets. As a result, there appear the components of spontaneous polarization  $P_1$  and  $P_3$  (Fig. 7) in the  $XZ$  plane, and the transverse permittivity  $\varepsilon_{33}(T)$  (Fig. 6, curve  $6_0^2$ ) looks like a longitudinal component of dielectric permittivity.

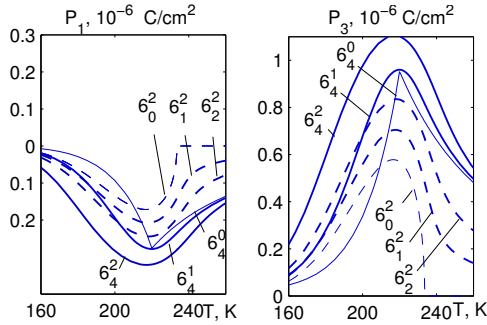


Fig. 7. The temperature dependences of polarizations  $P_1$  and  $P_3$  of GPI crystal at different values of the stress  $\sigma_6$  and the electric field  $E_3$ .

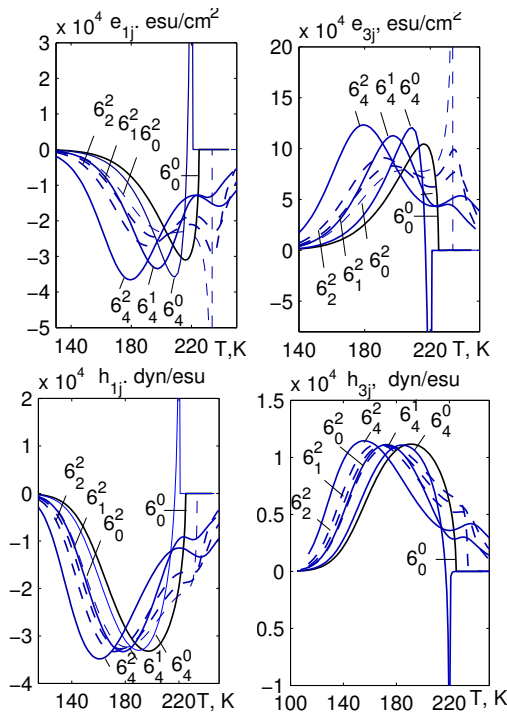


Fig. 8. The temperature dependences of piezoelectric coefficients  $e_{1j}$ ,  $e_{3j}$ ,  $h_{1j}$  i  $h_{3j}$  of GPI crystal at different values of the stress  $\sigma_6$  and the electric fields  $E_3$ .

It is necessary to note that in the presence of stress  $\sigma_6$  and in a zero field, components  $P_1 \neq 0$  and  $P_3 \neq 0$  only in the ferroelectric phase (Fig. 7, curves  $6_0^2$ ), whereas in the other special case,  $\sigma_6 = 0$  and  $E_3 \neq 0$ , components  $P_1 \neq 0$  and  $P_3 \neq 0$  at all temperatures (curves  $6_4^0$ ).

As one can see from Fig. 8 (curves  $6_0^2$ ), the piezoelectric coefficients  $e_{1j}(T)$ ,  $e_{3j}(T)$  tend to infinity in point  $T_c$ . This is because under a nonzero stress  $\sigma_6$  the small changes in strains  $d\varepsilon_4$ ,  $d\varepsilon_6$  are accompanied by a change in temperature  $dT_c$  and by a shift of curves  $P_1(T)$  and  $P_3(T)$  to higher temperatures. Inasmuch as  $dP_i/dT \rightarrow \infty$  near the phase transition temperature, then  $dP_i/d\varepsilon_4 \rightarrow \infty$ ,  $dP_i/d\varepsilon_6 \rightarrow \infty$ .

Since all the components  $P_\alpha \neq 0$  under stress  $\sigma_6$  in the ferroelectric phase, field  $E_3$ , which is applied additionally to stress  $\sigma_6$ , contains a component parallel to the total polarization (the longitudinal component  $\mathbf{E}_3^* \parallel \mathbf{P}$ ). This longitudinal component leads to the smearing of the phase transition (curves  $6_1^2$ ,  $6_2^2$ ,  $6_4^1$ ,  $6_4^2$ ,  $6_4^2$ ).

#### IV. CONCLUSIONS

The reducing of the symmetry of interactions under shear stresses  $\varepsilon_4$  and  $\varepsilon_6$  practically does not affect the components of the spontaneous polarization vector, dielectric permittivity tensor, longitudinal piezoelectric coefficients, elastic constants, and molar heat capacity. However, it allows to calculate also the transverse piezoelectric coefficients.

Dielectric properties of GPI depend on the way of the application of mechanical stresses and fields. The application of only the electric field  $E_3$  in the absence of mechanical stresses decreases temperature  $T_c$ , increases permittivity  $\varepsilon_{33}$  in the ferroelectric phase, and also induces the components of polarization  $P_1$  and  $P_3$  in the whole temperature range. The shear stress  $\sigma_5$ , which is applied additionally to field  $E_3$ , qualitatively does not change the temperature dependences of thermodynamic characteristics, but only linearly increases the phase transition temperature.

The application of only the shear stress  $\sigma_6$  to the crystal reduces the symmetry of the crystal, and chains “A” and “B” become nonequivalent. Consequently, in the ferroelectric phase, besides component  $P_2$ , the components of polarization  $P_1$  and  $P_3$  appear in the  $XZ$  plane. That is, the total spontaneous polarization vector is nonperpendicular to the  $OZ$  axis. Therefore, field  $E_3$ , which is applied additionally to stress  $\sigma_6$ , contains the component parallel to the total polarization (the longitudinal component). This longitudinal component leads to the smearing of the phase transition.

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### ВПЛИВ НАПРУГ $\sigma_5$ , $\sigma_6$ ТА ЕЛЕКТРИЧНОГО ПОЛЯ $E_3$ НА ТЕРМОДИНАМІЧНІ ХАРАКТЕРИСТИКИ СЕГНЕТОЕЛЕКТРИКА GPI

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Для дослідження ефектів, що виникають під дією зсувних напруг  $\sigma_5$ ,  $\sigma_6$  та електричного поля  $E_3$ , використано модифіковану модель сегнетоелектрика фосфіту гліцину (GPI) через врахування п'єзоелектричного зв'язку структурних елементів, які впорядковуються, з деформаціями  $\varepsilon_j$ . В наближенні двочастинкового кластера розраховано вектори поляризації та компоненти тензора статичної діелектричної проникності механічно затиснутого кристала, їхні п'єзоелектричні та теплові характеристики. Досліджено одночасну дію напруги  $\sigma_5$  і поля  $E_3$ , а також напруги  $\sigma_6$  і поля  $E_3$  на фазовий перехід та фізичні характеристики кристала.